

# Orbital pacing and ocean circulation-induced collapses of the Mesoamerican monsoon over the past 22,000 y

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Edited by James P. Kennett, University of California, Santa Barbara, CA, and approved April 19, 2013 (received for review January 2, 2013)

**The dominant controls on global paleomonsoon strength include summer insolation driven by precession cycles, ocean circulation through its influence on atmospheric circulation, and sea-surface temperatures. However, few records from the summer North American Monsoon system are available to test for a synchronous response with other global monsoons to shared forcings. In particular, the monsoon response to widespread atmospheric reorganizations associated with disruptions of the Atlantic Meridional Overturning Circulation (AMOC) during the deglacial period remains unconstrained. Here, we present a high-resolution and radiometrically dated monsoon rainfall reconstruction over the past 22,000 y from speleothems of tropical southwestern Mexico. The data document an active Last Glacial Maximum (18–24 cal ka B.P.) monsoon with similar  $\delta^{18}\text{O}$  values to the modern, and that the monsoon collapsed during periods of weakened AMOC during Heinrich stadial 1 (ca. 17 ka) and the Younger Dryas (12.9–11.5 ka). The Holocene was marked by a trend to a weaker monsoon that was paced by orbital insolation. We conclude that the Mesoamerican monsoon responded in concert with other global monsoon regions, and that monsoon strength was driven by variations in the strength and latitudinal position of the Intertropical Convergence Zone, which was forced by AMOC variations in the North Atlantic Ocean. The surprising observation of an active Last Glacial Maximum monsoon is attributed to an active but shallow AMOC and proximity to the Intertropical Convergence Zone. The emergence of agriculture in southwestern Mexico was likely only possible after monsoon strengthening in the Early Holocene at ca. 11 ka.**

stalagmite | paleoclimatology | plant domestication | cave | Sierra Madre del Sur

The North American Monsoon (NAM) dominates the summer moisture budget for most of Mexico and the southwestern United States, but despite its proximity to the Atlantic Meridional Overturning Circulation (AMOC) center of action in the North Atlantic Ocean, it remains one of Earth's least understood monsoons because of a lack of high-resolution summer-sensitive proxy records. In particular, the paleoclimatic history of the tropical sector (south of 20°N) of the NAM, which we informally term the Mesoamerican monsoon because of its distinction from the more northerly "core" sector (1) and its links to the broader cultural area (*SI Text*) remains poorly known due to conflicting interpretations of lacustrine proxy data (2). However, common forcings on the global paleomonsoon (3) suggest that the Mesoamerican monsoon should be sensitive to both precessional-scale orbital forcing and ocean circulation variations via changes in the latitudinal position of the Intertropical Convergence Zone (ITCZ) (3). Reconstructions of the East Asian Monsoon (EAM) and South American Summer Monsoon (SASM) from radiometrically dated speleothems (cave calcites) (3–5) showed that monsoon strength was paced by changes in orbital insolation at ~21,000-y orbital precession time scales, and exhibited millennial-scale climate variability linked by atmospheric teleconnections to the North Atlantic region (6–8). Weakest EAM intervals coincided with cold Heinrich events when ocean

circulation was disrupted by freshwater forcing into the North Atlantic, whereas the SASM intensity strengthened during stadials, suggesting a hemispheric antiphasing in tropical rainfall linked to migrations in the latitude of the ITCZ. However, the relative orbital and ocean circulation influence on the Mesoamerican monsoon is unconstrained, limiting our ability to test hypotheses of monsoon forcing (3), the peopling of the Americas (9), the Holocene domestication of maize and squash in Mexico (10), climate's influence on past civilizations (11), and to forecast future monsoon variations in an anthropogenically altered climate (12).

## Results

Our 22-ka monsoon reconstruction (Fig. 1) is a well-dated and high-resolution paleorainfall record of the Mesoamerican monsoon, and is based on 2,230 stable oxygen isotope ( $\delta^{18}\text{O}$ ) values and 50 U-series dates (see *SI Text* for methods; *Figs. S1* and *S2*; *Table S1*). The Juxtlahuaca Cave stalagmites presented here were recovered ~750 m from the entrance (927 m), in the Sierra Madre del Sur of southwestern Mexico. Juxtlahuaca Cave presents an ideal cave climate with constant temperature (24.2 °C) and constant 100% relative humidity (11, 13, 14). To facilitate comparison of monsoon strength for different time intervals, the speleothem  $\delta^{18}\text{O}$  records were corrected for changes in the  $\delta^{18}\text{O}$  of the ocean, which is the moisture source for rainfall in southwestern Mexico and other global monsoon regions (*SI Text*). The effect of this  $\delta^{18}\text{O}$  sea water correction is to make  $\delta^{18}\text{O}$  values ~1% more negative during the Last Glacial Maximum (LGM), when ice volume was maximal, and with a negligible correction for today. Further, to test for links between Mesoamerican rainfall and hemispheric forcings, we compared our reconstruction (Fig. 1) with the  $^{231}\text{Pa}/^{230}\text{Th}$  ratio in subtropical North Atlantic sediments (15), a proxy for AMOC intensity (*SI Text*), Greenland Ice Sheet  $\delta^{18}\text{O}$ , a proxy for temperature and atmospheric circulation (6), ice volume (16), and sea-surface temperature in the tropical North Atlantic Ocean (17) to show the sequence of deglacial climate events that typify North Atlantic paleoclimatic change (Fig. 1).

Over the past 22 ky Mexico speleothem  $\delta^{18}\text{O}$  values are marked by intermediate values of –8 to –9‰ Vienna PeeDee Belemnite (VPDB) during both the last 2 ky and the LGM. In contrast, high  $\delta^{18}\text{O}$  values (–6.3‰) characterize Heinrich stadial 1 (HS1) and the Younger Dryas (YD) intervals (Fig. 1). Low

Author contributions: M.S.L. and J.P.B. designed research; M.S.L., Y.A., J.P.B., and V.J.P. performed research; Y.A. and V.J.P. contributed new reagents/analytic tools; M.S.L., Y.A., J.P.B., V.J.P., and L.V.-S. analyzed data; and M.S.L., Y.A., J.P.B., V.J.P., and L.V.-S. wrote the paper.

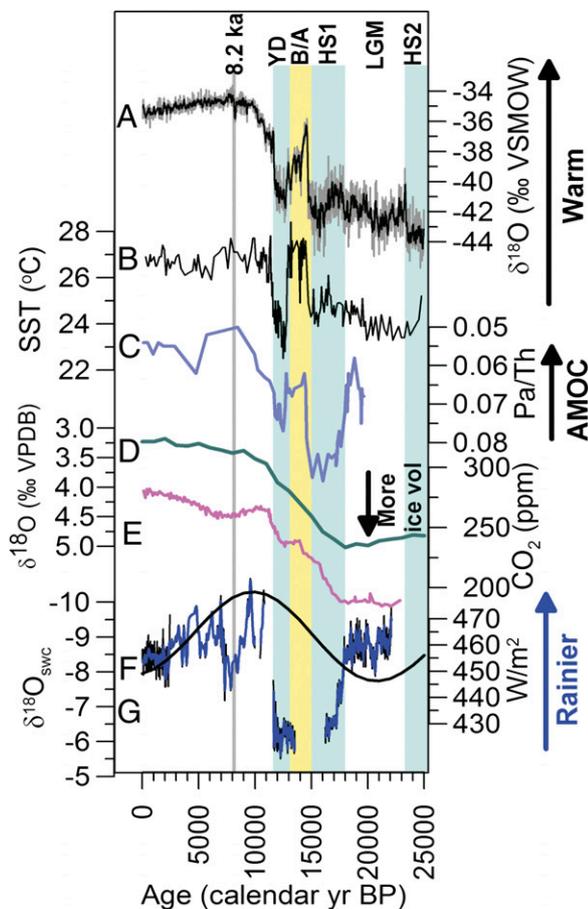
The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

Data deposition: The speleothem  $\delta^{18}\text{O}$  data reported in this paper have been deposited at the National Climatic Data Center, National Oceanic Atmospheric Administration Paleoclimatology Web site, [www.ncdc.noaa.gov/paleo/paleo.html](http://www.ncdc.noaa.gov/paleo/paleo.html).

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1222804110/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1222804110/-DCSupplemental).



**Fig. 1.** Comparison of Mesoamerican monsoon strength to proxies for paleoclimatic change in the North Atlantic Ocean. (A) North Greenland Ice Sheet Project (NGRIP)  $\delta^{18}\text{O}$  values on the Greenland Ice Core Chronology 2005 (GICC05) (6). (B) SST from Mg/Ca ratios in the Cariaco Basin, Venezuela (17). (C)  $^{231}\text{Pa}/^{230}\text{Th}$  ratio, a proxy for AMOC (15). (D) LR04 marine benthic foraminifera  $\delta^{18}\text{O}$  stack (16), a proxy for global ice volume. (E)  $\text{CO}_2$  record from Antarctica (21). (F) Mexico stalagmite  $\delta^{18}\text{O}$  values from Juxtaluaca and del Diablo Caves (this study and refs. 11, 14) corrected for changes in the  $\delta^{18}\text{O}$  of the ocean (*SI Text*) and fitted (bold lines) with 5- and 11-pt running averages (JX-6). (G) Summer insolation average (June/July/August, JJA) for  $20^\circ\text{N}$ . Vertical bars indicate durations of the 8.2 event, YD, B/A, HS1 and 2, the LGM.

$\delta^{18}\text{O}$  values are evident in the Early Holocene at *ca.* 9.5 ka, after which time a Holocene-long  $\delta^{18}\text{O}$  increase is interrupted by several centennial- to millennial-scale shifts to higher values, most notably between *ca.* 7 and 9 ka. Today, the  $\delta^{18}\text{O}$  value of rainfall in the Mesoamerican monsoon is strongly controlled by the amount effect (11, 18), whereby intense convection results in low  $\delta^{18}\text{O}$  values and vice versa, and these rainwater  $\delta^{18}\text{O}$  variations are recorded in stalagmite  $\delta^{18}\text{O}$  variations after filtering through the epikarst (13). We conclude that the modern and LGM monsoon states were of approximately equal strengths and that the monsoon abruptly collapsed during early HS1 beginning at 18 ka. Although our stalagmite contains a hiatus during the Bølling/Allerød (B/A), perhaps due to drip rerouting above stalagmite JX-2, the record from Lake Petén Itzá (19) suggests moist conditions and a strong Mesoamerican monsoon. The monsoon was weak during the YD between 12.9 and 11.6 ka, after which time it strengthened at the YD/Early Holocene boundary. Considered on orbital time scales, the Mesoamerican monsoon strength today and at the LGM is of intermediate strength, was strongest during periods of the Early Holocene (lowest  $\delta^{18}\text{O}$  values), and

weakest during the deglacial cold episodes HS1 and the YD (highest  $\delta^{18}\text{O}$  values). We refer to these three monsoon states as “active,” “strong,” and “weak,” respectively.

## Discussion

**Forcing of the LGM Mesoamerican Monsoon.** The observation of similar modern and LGM Mesoamerican monsoon strength is a surprising result because of the lower specific humidity associated with cooler temperatures, such as is indicated by 3–4 °C sea-surface temperature (SST) depression relative to modern in the Cariaco Basin (17) and lower land-surface temperatures (LST) on the Yucatan Peninsula (20). Thus, the low LGM  $\delta^{18}\text{O}$  values may be explained by a similar degree of air-mass rainout as today. The stalagmite  $\delta^{18}\text{O}$  values also contain a temperature imprint: a decrease in LST of ~3–4 °C is responsible for making the stalagmite  $\delta^{18}\text{O}$  values higher by ~0.6–0.8‰ due to the water-carbonate precipitation temperature (13), leading to apparently slightly weaker monsoons during cold periods. However, this influence on the stalagmite  $\delta^{18}\text{O}$  profile (+0.6 to +0.8‰) is small relative to the observed magnitude of  $\delta^{18}\text{O}$  changes related to monsoon strength (*ca.* 3–4‰), and it would have been offset by the decrease in  $\delta^{18}\text{O}$  values of precipitation during cold periods due to the atmospheric temperature effect. Because our observations contradict a simple thermodynamic forcing of monsoon strength via atmospheric water vapor content, an alternative mechanism for maintaining an active LGM monsoon must be considered.

A key similarity between today and the LGM is that local summer insolation at  $20^\circ\text{N}$  reached precessional-scale minima, and we suggest that the similar monsoon strength was forced by nearly equal summer insolation despite the high ice volume, lower temperature, and lower  $\text{CO}_2$  (21) boundary conditions at the LGM (Fig. 1). Today, monsoon strength is positively correlated both with the magnitude of the ocean-to-land temperature gradient ( $\Delta T_{\text{SST-LST}}$ ) and proximity to the ITCZ, and we hypothesize that similar processes affected the Mesoamerican monsoon during the LGM in response to similar orbital forcing. However, insolation forcing is unlikely to be the only driver on monsoon strength during deglaciation, because weak monsoon intervals during the YD and HS1 also occurred at times of higher summer insolation and low temperatures.

**Atlantic Ocean Circulation and ITCZ Forcing of the Mesoamerican Monsoon.** An alternative hypothesis to explain the similar LGM and modern monsoon strength, potentially acting in concert with insolation forcing, is via the latitudinal position of the ITCZ. Today, the ITCZ migrates seasonally in response to the warmest SSTs, reaching its northern most extent ~10–12°N in late boreal summer over the eastern Pacific warm pool (22) off southwestern Mexico. On millennial time scales, the ITCZ latitudinal position has been shown to be forced by the strength of the ocean circulation (AMOC) (23), so we compared our record to the ocean circulation  $^{231}\text{Pa}/^{230}\text{Th}$  proxy in the North Atlantic ocean. Our Mesoamerican monsoon reconstruction shares several features with the  $^{231}\text{Pa}/^{230}\text{Th}$  AMOC proxy, including intermediate AMOC during the LGM, a pronounced weakening during HS1, and an Early Holocene increase. Because our record displays key similarities to the  $^{231}\text{Pa}/^{230}\text{Th}$  record, we suggest that Mesoamerican monsoon strength is also related to the vigor of the AMOC and its consequent influence on the latitude and strength of the ITCZ. Active AMOC maintains high SST in the North Atlantic as a result of cross-equatorial northward transport of warm surface waters, which in turn favors a northerly location of the ITCZ, and vice versa.

To further test the hypothesis of an ITCZ position influence on the Mesoamerican monsoon, we compared our record to other hydrologic proxy records in the global monsoon regions temporally (Fig. 2) and spatially (Fig. S3) to AMOC variations





response in the SASM during the YD, with the expansion of altiplano lakes, increased runoff to the Brazil margin, and lower  $\delta^{18}\text{O}$  in the Botuverá stalagmite recording a stronger monsoon (28, 31, 43, 44), yet stalagmites in the western Amazon and Amazon river runoff indicating a weaker monsoon (8, 45). Part of this discrepancy is likely due to the presence of a precipitation dipole over the western Amazon and northeast Brazil, where there is an opposite rainfall response to climate events during the Late Glacial (44, 46). For example, the YD was somewhat wetter than today in northeast and southern Brazil, but in the western Amazon was marked by a transition from wet to dry conditions (8, 27, 44). We also observe enhanced Holocene  $\delta^{18}\text{O}$  anomalies and hence rainfall variability in Mesoamerica relative to Asia and South America. These observations suggest that the abrupt transitions and amplified isotopic variability in the Mesoamerican monsoon may be a result of its proximal location to the AMOC center of action in the North Atlantic Ocean, possibly enhanced by a high sensitivity or a threshold response of monsoon strength to ITCZ position.

**Implications for Mesoamerican Monsoon Forcing and Emergence of Agriculture.** Our conclusion of an active LGM summer monsoon similar to today differs from prior interpretations of wetness in central and southwestern Mexico. Previous workers suggested that such wetness was derived from winter extratropical air masses guided by a southward-displaced jet stream (20, 36) and/or polar outbreaks (*nortes*) (19). If the extratropical moisture hypothesis is correct, then winter precipitation would have been maximal during periods associated with the most extreme southward displacement of the ITCZ during the YD and HS1 when AMOC was slow. To test this prediction, we analyzed isotopic data for Chihuahua in northern Mexico (28.63°N, 106.07°W), which receives both summer and winter rainfall. Because winter precipitation  $\delta^{18}\text{O}$  values [ $-9.2 \pm 2.9\%$  Vienna standard mean ocean water (VSMOW)] are about 3% lower than summer ( $-6.2 \pm 3.3\%$  VSMOW), the winter precipitation hypothesis would predict that stalagmite  $\delta^{18}\text{O}$  values would have decreased by several permil during the YD and HS1. In fact, we see the opposite:  $\delta^{18}\text{O}$  values increased during these times. Further, the winter rainfall hypothesis suffers from other weaknesses. Today, rainfall is dominated by the summer monsoon, with winter rainfall providing less than 4% to annual totals to southwestern Mexico because of low specific humidity in winter air masses (Figs. S5–S7). Further, neither enhanced winter westerlies nor polar outbreaks alone can account for observed regional wetness in Mesoamerica (Fig. 2), because orographic effects today limit winter precipitation to windward slopes (Fig. S8). This contrasts with widespread monsoon wetness observed both today and during the LGM. A drastic shift in the latitude of the westerlies deep into the tropics is also difficult to reconcile with modern and Late Glacial climate dynamics and requires a no-modern-analog climate state. GCM output indicates the zone of maximum winter westerly precipitation over North America at the LGM was restricted to ca. 30 and 40°N (42), and it is difficult to envision the presence of pluvial lakes at these latitudes (47) if the winter rainfall band was displaced to 17°N latitude.

Our monsoon reconstruction has implications for Mesoamerican plant domestication, because agricultural emergence after human colonization was hypothesized to have been impossible during the Late Glacial Period because of low  $\text{CO}_2$ , low temperatures, and aridity (48). Although our data support an active monsoon during the LGM (18–22 ka), the earliest securely dated human remains in Mexico significantly postdate the LGM to  $12,650 \pm 70$  calendar y B.P. ( $10,755 \pm 75$   $^{14}\text{C}$  y B.P.) (49), coinciding with the YD weak monsoon interval (Fig. 1). However, the presence of the earliest known pre-Clovis artifacts in North America, dated to as early as 15.5 ka, and their arrival in South America (Monte Verde site) by ca. 14.5 ka (50, 51), suggests that humans may have also been present in Mesoamerica during the

B/A interstadial, when the Mesoamerican monsoon was strong (19), and  $\text{CO}_2$  concentrations of ca. 240 ppm approached Early Holocene concentrations (ca. 260 ppm). In contrast, had humans traversed Mesoamerica during HS1 between 17.0 and 14.5 ka, they would have encountered a weak monsoon when  $\text{CO}_2$  was <220 ppm. Neither HS1 nor the YD appears to present suitable monsoon conditions to support agriculture, and no solid evidence of human occupation of Mesoamerica during the wet LGM is available. Further, the absence of evidence for agricultural emergence during the B/A may be related to the relatively short duration of suitable climatic conditions, absence of a significant human population, or other factors. Thus, our data most strongly support the hypothesis that agriculture in Mesoamerica was first possible when a strong monsoon coincided with climate warming and high atmospheric  $\text{CO}_2$  concentrations ca. 11 ka.

Our data can further constrain the climatic conditions associated with maize domestication, likely from a wild teosinte (*Zea Mays* ssp. *parviglumis*; hereafter “teosinte”) ancestor in the Balsas River Basin by ~9.0 ka (10, 52). Maize was likely cultivated with squash at lake margins sometime during the earlier half of the interval between 10.0 and 5.0 ka (40), and archeological evidence documents the presence of maize starch on grinding tools by ca. 8.7 ka in the Balsas River drainage (52). Our data, including the Holocene section from Cueva del Diablo, located near the town of Teloloapan where a modern stand of teosinte is present (40), suggests that the Early Holocene was marked by highly variable rainfall, from very wet at 9.6 ka, to the most pronounced Holocene dry period between 9.0 and 7.2 ka, and a return to wetter climate between 7.0 and 4.0 ka. The occurrence of maize and squash phytoliths suggests that lake margin agriculture may have been used to exploit the high water tables in this environment as an adaptation to the vagaries of an unstable Holocene climate.

## Materials and Methods

We analyzed four stalagmites from southwestern Mexico for oxygen-stable isotopes ( $\delta^{18}\text{O}$ ) and U-series ratios (Figs. S1 and S2). The Juxtlaahuaca Cave stalagmites were dated at the University of New Mexico radiogenic isotope laboratory on a Thermo Neptune multicollector inductively coupled plasma mass spectrometer. Subsample powders of ~50–200 mg were dissolved in nitric acid and mixed with a  $^{229}\text{Th}$ – $^{233}\text{U}$ – $^{236}\text{U}$  spike. Analytical uncertainties are  $2\sigma$  of the mean, and include analytical errors and uncertainty in the initial  $^{230}\text{Th}/^{232}\text{Th}$  ratios, which was set to 4.4 ppm (assuming a bulk earth  $^{232}\text{Th}/^{238}\text{U}$  value of 3.8). Our monsoon reconstruction is based on 2,230  $\delta^{18}\text{O}$  analyses conducted at the Las Vegas Isotope Science Laboratory at the University of Nevada, Las Vegas (LVIS: samples JX-2, -6, and -10), and the Universidad Autónoma de México (CBD-2) for  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$ , mostly at a 0.5- or 1.0-mm sampling interval, corresponding to a temporal resolution of ~2 y for the last 2 ka, 10–12 y for the LGM, HS1, and YD, and ~60 y for the Holocene. The  $\delta^{18}\text{O}$  values measured at LVIS were determined with a Kiel IV automated carbonate preparation device whereby samples were reacted at 70 °C with phosphoric acid. The  $\text{CO}_2$  gas was separated and purified using cryogenic trapping, and analyzed on a ThermoElectron Delta V Plus stable isotope ratio mass spectrometer in dual inlet mode.  $\delta^{18}\text{O}$  values were corrected with an internal standard (USC-1) whose value was determined by comparison with the international standards NBS-18 and NBS-19. Long-term internal precision of USC-1, NBS-18, and NBS-19 is better than 0.1%  $\delta^{18}\text{O}$ . All  $\delta^{18}\text{O}$  values are expressed in standard  $\delta$ -‰ notation in deviations relative to the VPDB scale.

**ACKNOWLEDGMENTS.** We thank Prof. Andrés Ortega Jiménez, Juxtlaahuaca Cave docent, for collaboration. We also thank Francisco Navarrete and German Hernández Becerra (Comisión Federal de Electricidad of Mexico) for providing long-term data from the Colotipa weather station. This paper also benefitted from the valuable comments of two anonymous reviewers and an insightful review from the editor. Research was supported by the National Science Foundation (NSF) Paleoperspectives on Climate Change (Grant ATM-1003558) and the Major Research Instrumentation program of the Earth Science Division of NSF (EAR/MRI) (Grant EAR-0521196) programs, the National Geographic Society (Grant 8828-10), Consejo Nacional de Ciencia y Tecnología (Mexico) (Grants 44016 and 77018) and Universidad Autónoma de México's Programa de Apoyo a Proyectos de Investigación e Innovación Tecnológica Grants IN116906 and IN112008.

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